C.I.43012/15/2017-CHEM.I Government of India Ministry of Chemicals and Fertilizers Department of Chemicals and Petrochemicals

Shastri Bhavan, New Delhi Dated the 16th December, 2019

То

As per list attached

Subject: Guidelines for "Chemicals Promotion and Development" Scheme

Sir(s)/Madam(s),

I am directed to say that Department of Chemicals and Petrochemicals has revised Guidelines for Grants-in-Aid with the approval of the Competent Authority under "Chemicals Promotion and Development Scheme" (CPDS). The same is enclosed herewith.

2. In future, all proposals seeking Grant-in-Aid under CPDS may be submitted as per these guidelines.

Encl. as above

(iii)

Yours faithfull Director

Director Phone 23386083 E-mail: gs.perni@gov.in

- (i) Copy (along with enclosure) forwarded for information and necessary action to: Director (PC-I)/DS (PC.II)/Director (C.II)/Director (Admn & Estt)/DS (Fin)
- (ii) Copy along with enclosure to: PSO to Secretary (C&PC)/PS to AS &FAAPS to JS (C)/PS to E.A/ PS to JS (PC)/PS to CCA, D/o C&PC, Janpath Bhavan, New Delhi

Copy (along with enclosure) to Director (NIC) with the request to upload the Guidelines on the website of D/o C&PC

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CII, FICCI,AMAI,CIPET, IPFT, ICC, Mumbai, Dye Stuff Manufactures' Association of India, Mumbai (DMAI), ASSOCHAM, Indian Chamber of Commerce, Kolkata, National Corrosion Council of India Karaikudi Tamil Nadu, Pesticides Manufacturers & Formulators Association of India (PMFAI), Green ChemisTree Foundation, Mumbai, CHEMTECH Foundation, Indian Institute of Chemical Engineers (IIChE), NACE International India Section, Mumbai.

Guidelines for "Chemicals Promotion and Development Scheme (CPDS)"

1.0 Objective of the Scheme:

The objective of Chemicals Promotion and Development Scheme (CPDS) is to facilitate growth and development of Chemicals and Petrochemicals Industry by creation of knowledge products through studies, survey, data banks, promotional materials etc. and dissemination of knowledge through conduct of seminars, conferences, exhibitions, etc. to facilitate development of these sectors.

The Scheme also aims to incentivize research and innovation by awarding outstanding efforts in the field of chemicals and petrochemicals.

2.0 Components of the Scheme

Scheme will have four components.

2.1 Component I - Creation of knowledge products

This will include conducting studies/surveys, preparation of survey reports, acquisition of books, magazines, learning modules, data banks and production of promotional materials such as films, displays, e-booklets and brochures etc. In addition, any other activity which facilitates development of Chemicals and Petrochemicals sector may be supported.

2.2 Component II - Knowledge dissemination

This will include organization of seminars, workshops, conferences, conventions, Trainings, exhibitions, investor's meet, etc. on issues/subjects relevant to development of chemicals and petrochemicals sectors. An indicative list of subjects is given as under:-

- a. Quality Management System/Quality Improvement Program
- b. Issues related to feedstock, pesticides and chemicals
- c. Success Story Presentation-Green technologies and other innovative technologies in chemical sector
- d. Outreach programmes for industries on Government

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regulations/guidelines for chemical and petrochemical sector etc.

- e. Waste Management
- f. Chemical safety and security including handling and transportation of chemicals.
- g. Setting up of standards

2.3 Component III – Excellence Awards

National Awards will be given to recognize excellence in research and innovation in the Chemicals and Petrochemicals Sector.

Details of these three components and implementation arrangements are given subsequently in these Guidelines.

<u>2.4 Component IV – Organize any activity not covered under above</u> components

Under this component grant will be given to eligible organizations for executing/sustaining any industry facilitation and support measures, help desk, expenses to organize Advisory Forum and Development Committee meetings, etc.

3.0 Implementation Arrangements

3.1 Component I – Creation of knowledge products:

3.1.1 This component will ordinarily be implemented by the Department or through any Government agency such as autonomous bodies and PSUs or government academic institutions. In exceptional cases, support may be provided to industry associations for conducting studies or for preparing Industry Reports subject to the condition that financial support will not exceed 50% of the cost or Rs. 7.50 lakhs, whichever is lower, per study/report.

3.1.2 If Government assigns work of creation of knowledge products to any Government agency, it will finalise the Terms of References (ToRs). However, if any Government agency (autonomous bodies/PSUs/Academic institutions) or industry association wants to conduct any study or prepare a report then an application giving details as per Annexure I will need to be submitted to the Government.

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If grant is approved, it would be released in three instalments:

- (i) 30% will be issued along with sanction order after executing surety bond in the prescribed format.
- (ii) 30% grant will be released on submission of the draft report along with executive summary. If required, presentations need to be arranged before the Department before this instalment is released.
- (iii) Final instalment of 40% will be released on submission of the final report (10 hard copies and soft copies).
- (iv) Any study report funded by the Government under the Scheme will be joint property of the Department and the concerned Organization and this will be suitably acknowledged and shall not be used for any commercial purpose by the Organization.

3.2 Component II – Knowledge dissemination:

3.2.1 Knowledge dissemination events (seminars/ workshops/ conferences/ conventions, etc.) will be organised by:

- (a) The Department of Chemicals and Petrochemicals by itself or in collaboration with other organization.
- (b) Government agencies such as Academic institutions and autonomous bodies/PSUs under the Department
- (c) Associations of industry in Chemicals and Petrochemicals sector.
- (d) A specialized organization having demonstrated expertise in the field in which proposed event is to be organized.
- 3.2.2 Financial support for knowledge dissemination events:
 - (a) For events to be organised by the Department or Government agencies, financial support will cover the entire cost for organization of the event subject to realistic assessment of income and expenditure for the event and availability of budget.

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- (b) For events to be organised by industry associations or specialized organizations, Grant for any one event would be limited to 50% of the total estimated expenditure, further subject to the condition that maximum of Rs. 5 lakhs for one day event and Rs. 7 lakhs for two days event would be provided.
- (c) Normally, one organization will be supported for one event during a financial year.

3.2.3 Organizations will be permitted to use the Logo of the Department for display on publicity material as well as during the event with the prior approval of the Department. The Organization will ensure that while displaying the Logo of the Department of Chemicals and Petrochemicals, all the provisions of the Notification G.S.R. 643 (E) dated 4th October, 2007 of the M/o Home Affairs regulating the use of the State Emblem of India are strictly adhered to. The guidelines for logo support will be issued separately.

3.2.4 Department of Chemicals and Petrochemicals will seek proposals from Autonomous bodies/PSUs under it as well as from industry associations regarding proposed events during the next financial year. A notice will also be placed on the website of the Department seeking such proposals from organizations falling in the category of 3.2.1 (d). Preliminary proposals giving details of the proposed event, topic/theme of the knowledge dissemination event, likely speakers and participants and other details such as venue, likely date etc. will be submitted by the due date. Proposals falling under category 3.2.1 (d) will be considered only from such organizations which have been working for at least five years and have successfully organized Knowledge Dissemination events in the past.

3.2.5 These details will be considered by a Committee co-chaired by Jt. Secretary (Chemicals) and Jt. Secretary (Petrochemicals) in consultation with other stakeholders to finalize the annual calendar of events. However, no formal sanction for financial assistance will be sanction/issued at this stage. The annual calendar will be approved by Secretary (C&PC) and events included therein will be supported under the Scheme. In exceptional cases, proposals not included in the calendar may be undertaken with the approval of Secretary (C&PC).

3.2.6 Once calendar of events is approved, concerned Organization will be required to submit application for financial assistance giving details as per Annexure II at least two months prior to the event. Organizations which fall in the category 3.2.1 (d) will have to provide sufficient details to establish their expertise in the area and also evidence of successfully organising knowledge dissemination events in the Chemicals/Petrochemicals sector in previous years. Sanctioned grant will be released in one installment.

3.2.7 Organizations receiving Grant for this component will be required to submit a report within one month after organization of the event: -

- (i) Proceedings of the event.
- (ii) Copies/cutting of advertisements/publicity done.
- (iii) List of participants.
- (iv) List of resource persons with topics/presentations by them.
- (v) Suggestions/Queries of participants, if any.
- (vi) Outcome of the event / recommendations for various stakeholders.
- (vii) Performance -cum- Achievement Report
- (viii) Follow up action taken / to be taken.
- (ix) Details of actual expenditure and income earned (from all sources) after the event duly certified by Chartered Accountant.
- Utilization Certificate (UC) in the prescribed proforma duly signed by the Head of the Organization. (Annexure –III)

3.3 Component III – Awards for Excellence

Department of Chemicals and Petrochemicals will give Excellence Awards to recognize excellence in research and innovation separately for Chemicals and Petrochemicals/Plastics sector. The component will be implemented by CIPET which would be provided necessary financial support for the same. Detailed guidelines for National Awards for Chemicals and Excellence Awards for Petrochemicals/Plastics will be issued separately.

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3.4 Component IV – Organize any other activity

Under this component grant will be given to eligible organizations for executing/sustaining any industry facilitation and support measures, help desk, expenses to organize Advisory Forum and Development Committee meetings, etc. with the approval of Secretary, Department of Chemicals & Petrochemicals (C&PC) in consultation with Financial Advisor of the Department.

4.0 General Conditions for availing Grant/Assistance

- i. Non-governmental entities seeking assistance under the Scheme will need to be registered with NITI Aayog and will have to obtain Unique ID before applying for financial assistance/support under the Scheme.
- ii. The grantee institutions would comply with the provisions of GFR 2017 as applicable.
- iii. The grantee institutions would comply with the instructions regarding EAT (Expenditure, Advance, Transfer) module of PFMS (Public Financial Management System) as issued by Ministry of Finance from time to time.
- iv. Under no circumstances, grant would be released to an event manager.
- v. No grant will be released for any expenditure of recurring nature.
- vi. In case of conferences/workshops etc. Officers nominated by the Department of Chemicals and Petrochemicals would be entitled to participate in such event without payment of any delegate fee etc.
- vii. All organizations receiving assistance under the Scheme will submit Utilization Certificate in the proforma as per Annexure III by 30th June of the subsequent financial year.

6.0 How to apply for seeking financial assistance

All requests regarding grants-in-aid should be filed online on portal http://cpds.chemicals.gov.in

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Annexure - I

Proforma for seeking financial assistance for creation of knowledge products

 Name of the Organization Address/Telephone/fax/E- mail nos.
(please attach copies of Registration certificate. Memorandum and Articles of Association And audited annual accounts for the last three years)

(2) Main activities of the organization

(3) Purpose and subject of the study/report etc.

(4) Methodology to be adopted

(5) Detailed terms of reference

(6) Benefits from the proposed study/report etc.

(7) Time for completion of the study & Implementation schedule

(8) Cost of the study along with detailed break-up.

(9) Amount of assistance sought from DCPC

(10) Payment Schedule

(11) Whether Utilization Certificate for earlier Grants-in-aid received from DCPC has been furnished.

(12) Enclose technical bio-data of Consultants Proposed to be engaged for the project.

> Signature Name, designation & Full contact details of Representative of applicant Organization

Place: Date:

Seal of the Institution

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Annexure-II

Proforma for seeking financial support for knowledge dissemination events by Industry Associations/other organizations under Chemicals Promotion and Development Scheme (CPDS)

1. DETAILS OF APPLICANT ORGANIZATION

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1. Name of the organization with address, phone	
No./fax/Email	
1 (A) Unique Identification no. obtained from	
NITI Ayog	
2. a) Legal status of the organizing institution	
(Please give details whether a trust, a company,	
registered society, educational institution, research	
organization, professional body involved in	
scientific/ academic/technical activities)	
b) Category of the Organization:	
i) State Government/U'T	
ii) Autonomous Body of the Government	
iii) Co-operative Society and Co-operative	
Institution	
iv) Others	
3. i) In case of registered organization/society,	
please give details of registration No., place of	
registration and registration authority (enclose	
copy of the relevant documents).	
ii) Please confirm whether your organization has	
been operating for 3/5 years. If yes, please enclose	
list highlighting substantive achievements	

II. DETAILS OF EVENT

4. Name/ Title of the Event:	
5. Level of the seminar/symposium/workshop i.e.	
whether Regional/National/International. Please	
furnish details of collaborating institutions, experts	
and participants	
6. Proposed dates of the	
Seminar/Symposium/Workshop. (Please enclose copy	
of detailed program)	
7. Full Address of the Venue for the Conference	
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	8. Brief objectives of the event including the topics to	
	be discussed and the relevance of importance of the	
	event in the national or international context.	
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III. FINANCIAL DETAILS

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9. Details of the budget estimate of the expenses for the Seminar along with income expected to be generated from registration fee from delegates, advertisements etc.	
10. Total estimates of Expenses (Details in separate sheet)(i) Total estimates of income(ii) Details of financial support from other organizations.	
11. Amount of financial assistance required from DCPC	
12. Name & Address of the person (Head of the organization) responsible for furnishing Utilization Certificate (UC) certified by Chartered Accountant by 30 th June of the subsequent financial year (phone number & email address should also be provided).	

IV DETAILS OF FINANCIAL ASSISTANCE RECEIVED EARLIER

13. a) Whether any grant/financial support for	
Seminar/Symposium/Workshop/Conference etc.	
received earlier from DCPC. If yes, please mention	
amount received	
b) Whether Utilization Certificates for grants for	
past events have been submitted (please give letter	
no. and date alongwith copy). If not, the reasons	
thereof.	

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V DETAILS OF PARTICIPANTS

14. No. of participants (along with list of speakers	
and experts)	
15. If foreign participants are being invited,	
whether applications submitted to Ministry of	
External Affairs for Political clearance or	
clearance obtained (please attach copy). No travel	
expense should be committed beforehand	
16. Whether clearance of Ministry of Home	
Affairs from Security angle in case of foreign	
participants has been obtained or applied for	
(please attach copy)	

VI UNDERTAKING BY THE HEAD OF THE INSTITUTION

It is certified that the information given in the proposal is true to the best of my knowledge.

On behalf of ______ (Name of Organization), I hereby undertake to ensure smooth conduct of the event, proper use of Government fund, and submission of utilization certificate and audited statement of accounts, within prescribed time limits. I also undertake to return the grant within 15 days to the Department of Chemicals & Petrochemicals, Ministry of Chemicals and Fertilizers, Government of India, New Delhi in the event of failure to conduct the event on the date (s) indicated above or any deviation made from the commitments or furnishing of false information.

> Signature Name, designation & Full contact details of representative of applicant organization

Place: Date:

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Annexure-III

FORM OF UTILIZATION CERTIFICATE FOR AUTONOMOUS BODIES OF THE GRANTEE ORGANIZATION

UTILIZATION CERTIFICATE FOR THE YEAR..... in respect of recurring/non-recurring GRANTS-IN-AID/SALARIES/CREATION OF CAPITAL ASSETS

- 1. Name of the Scheme.....
- 2. Whether recurring or non-recurring grants.....
- 3. Grants position at the beginning of the Financial year
 - (i) Cash in Hand/Bank
 - (ii) Unadjusted advances
 - (iii) Total

4. Details of grants received, expenditure incurred and closing balances: (Actuals)

Unspent Balances of Grants received years [figure as at SI. No. 3 (iii)]	Interest Earned thereon	Interest deposited back to the Govern- ment	Grant	treceive the yea	d during r	Total Available funds (1+2- 3+4)	Expenditure incurred	Closing Balances (5-6)
1	2	3		4		5	6	7
			Sanction No. (i)	Date (ii)	Amount (iii)			

Component wise utilization of grants:

Grant-in-aid- General	Grant-in-aid– Salary	Grant-in-aid- creation of capital assets	Total

Details of grants position at the end of the year

- (i) Cash in Hand/Bank
- (ii) Unadjusted Advances
- (iii) Total

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Certified that I have satisfied myself that the conditions on which grants were sanctioned have been duly fulfilled/are being fulfilled and that I have exercised following checks to see that the money has been actually utilized for the purpose for which it was sanctioned:

- (i) The main accounts and other subsidiary accounts and registers (including assets registers) are maintained as prescribed in the relevant Act/Rules/Standing instructions (mention the Act/Rules) and have been duly audited by designated auditors. The figures depicted above tally with the audited figures mentioned in financial statements/accounts.
- (ii) There exist internal controls for safeguarding public funds/assets, watching outcomes and achievements of physical targets against the financial inputs, ensuring quality in asset creation etc. & the periodic evaluation of internal controls is exercised to ensure their effectiveness.
- (iii) To the best of our knowledge and belief, no transactions have been entered that are in violation of relevant Act/Rules/standing instructions and scheme guidelines.
- (iv) The responsibilities among the key functionaries for execution of the scheme have been assigned in clear terms and are not general in nature.
- (v) The benefits were extended to the intended beneficiaries and only such areas/districts were covered where the scheme was intended to operate.
- (vi) The expenditure on various components of the scheme was in the proportions authorized as per the scheme guidelines and terms and conditions of the grants-in-aid.
- (vii) It has been ensured that the physical and financial performance under...... (name of the scheme has been according to the requirements, as prescribed in the guidelines issued by Govt. of India and the performance/targets achieved statement for the year to which the utilization of the fund resulted in outcomes given at Annexure – I duly enclosed.
- (viii)The utilization of the fund resulted in outcomes given at Annexure II duly enclosed (to be formulated by the Ministry/Department concerned as per their requirements/specifications.)
- (ix)Details of various schemes executed by the agency through grants-in-aid received from the same Ministry or from other Ministries is enclosed at Annexure –II (to be formulated by the Ministry/Department concerned as per their requirements/specifications).

Date:

Place:

Signature

Signature

Name.....

Name.....

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Chief Finance Officer (Head of the Finance) Head of the Organization

(Strike out inapplicable terms)

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